#### (19) World Intellectual Property Organization International Bureau





#### (43) International Publication Date 19 April 2001 (19.04.2001)

**PCT** 

(10) International Publication Number WO 01/26608 A2

(51) 1	nternational	Patent	Classification7	:
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A61K

(81) Designated States (national): AU, CA, CN, JP, MX, NZ, SE, ZA.

(21) International Application Number:

PCT/US00/28414

(22) International Filing Date: 13 October 2000 (13.10.2000)

(25) Filing Language:

1

English

(26) Publication Language:

English

(30) Priority Data: 60/159,690

14 October 1999 (14.10.1999)

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(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European

patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG,

CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

Without international search report and to be republished upon receipt of that report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

# (54) Title: DNA VACCINES ENCODING ANTIGEN LINKED TO A DOMAIN THAT BINDS CD40 CD154 EC S or L 32.75 WO 01/26608

(57) Abstract: Vaccines that target one or more antigens to a cell surface receptor improve the antigen-specific humoral and cellular immune response. Antigen(s) linked to a domain that binds to a cell surface receptor are internalized, carrying antigen(s) into an intracellular compartment where the antigen(s) are digested into peptides and loaded onto MHC molecules. T cells specific for the peptide antigens are activated, leading to an enhanced immune response. The vaccine may comprise antigen(s) linked to a domain that binds at least one receptor or a DNA plasmid encoding antigen(s) linked to a domain that binds at least one receptor. A preferred embodiment of the invention targets HIV-1 env antigen to the CD40 receptor, resulting in delivery of antigen to CD40 positive cells, and selective activation of the CD40 receptor on cells presenting HIV-1 env antigens to T cells.

# TITLE: DNA VACCINES ENCODING ANTIGEN LINKED TO A DOMAIN THAT BINDS CD40

#### CROSS REFERENCE TO RELATED APPLICATIONS

This application is entitled to the benefit of Provisional Patent Application Ser. # 60/159,690, filed 1999 October 14.

#### TECHNICAL FIELD:

This invention relates to DNA vaccines, specifically to improved DNA vaccines that induce strong antigen-specific humoral and cellular immune responses.

#### **BACKGROUND ART:**

DNA immunization, the inoculation of plasmid DNA encoding a microbial or tumor antigen, is a recent addition to vaccine technology (Donnelly J.J. et al, Ann. Rev. Immunol. 15: 617-648, 1997; Letvin N. L., Science 280: 1875-1879, 1998). Both cellular and humoral immune responses occur after DNA vaccination, and protective immunity against microbial challenge is sometimes induced in experimental animals (Ulmer J.B. et al, Vaccine 12: 1541-1544, 1994; Yokoyama M. et al, J. Virol. 69: 2684-2688, 1995; Xiang Z.Q. et al, Virology 199: 132-140, 1994; Sedegah M. et al, Proc. Natl. Acad. Sci. USA 91: 9866-9870, 1994; Montgomery D.L. et al, DNA Cell Biol. 12; 777-783, 1993). T cell responses, including CD8+ cytotoxic T lymphocyte (CTL) and CD4+ T helper cells, can be stimulated by DNA vaccination in response to antigenic peptides presented by class I and class II MHC molecules (Whitton J.L. et al, Vaccine 17: 1612-1619, 1999).

Endogenous protein synthesis allows presentation of foreign antigenic peptides by MHC class I, whereas uptake of soluble protein by APC is required for presentation of peptides by MHC class II. Both arms of the immune response can therefore be induced after DNA vaccination, but the pathways for antigen processing and presentation are distinct for peptides presented by MHC class I or MHC class II. This conclusion is derived from experiments using DNA encoding ubiquitinated protein that is rapidly targeted to intracellular degradation by proteosomes. Ubiquitinated antigen that was degraded so rapidly that intact protein could not leave the cell led to enhanced production of CTL in vivo, but completely eliminated antibody production (Rodriguez F. et al, J. Virol. 71: 8497-8503, 1997; Wu Y. and Kipps T.J., J. Immunol. 159: 6037-6043, 1997). Thus a major limitation of DNA vaccines is their inability to induce strong and sustained humoral immune responses. Strategies for optimization of the cellular immune response to DNA vaccines that do not reduce humoral immune responses are needed.

DNA vaccines for HIV-1 have been tested in animal models and found to induce an immune response that provides protection against challenge only when the virulence of the viral isolate is low. In benign challenge models, chimpanzees were protected from live virus exposure by vaccination with plasmid DNA or by subunit antigens or peptides (Boyer J.D. et al, Nat. Med. 3:526-532, 1997; Kennedy R.C., Nat. Med. 3: 501-502, 1997). However, when highly virulent SIV was tested in rhesus macaques, DNA vaccination was not protective and could only achieve a reduction in virus load even when multiple doses of DNA were inoculated through multiple routes (Lu S. et al, J. Virol. 70: 3978-3991, 1996). Therefore, enhancing the immune response to DNA immunization is an important goal of current AIDS vaccine research. Enhancing the immune response to other DNA vaccines is also desirable in order to provide protection when infected with highly virulent organisms or with a high infectious dose, and to provide long lasting protection. Enhancing the immune response to DNA vaccines encoding tumor antigens is also important for maximizing the anti-tumor response.

One strategy that has been tested is to prime with a DNA vaccine followed by boosting with protein antigen. However, this approach requires construction of multiple vaccines for the same infection or disease, and depends upon multiple injections given in a precise order. It would be desirable to induce protective immunity without needing

multiple forms of a vaccine, and without requiring alternating injections of DNA and protein.

Chemical and genetic approaches to enhance the immune response to DNA vaccines have been studied. Chemical adjuvants with some activity include monophosphoryl lipid A (Sasaki S. et al, Infect. Immun. 65: 3520-3528, 1997), saponin QS-21 (Sasaki S et al, J. Virol. 72: 4931-4939, 1998), mannan-coated liposomes (Toda S et al, Immunology 92: 111-117, 1997), and the aminopeptidase inhibitor ubenimex (Sasaki S et al, Clin. Exp. Immunol. 11: 30-36, 1998). Each of these adjuvants modestly enhanced both antibody titers and CTL activity after DNA vaccination in mice. Although the mechanism of action of chemical adjuvants is not fully elucidated, they seem to work by induction of cytokines that amplify responses, by recruitment of macrophages and other lymphoid cells at sites of DNA administration, or by facilitating entry of DNA into host cells (Sasaki S. et al, Anticancer Research 18: 3907-3916, 1998). Several genetic approaches to enhancing responses to DNA vaccines have been tested, including administration of a gene encoding a cytokine (IL2, IL12, GM-CSF, TCA3, MIP-1α) (Chow Y.-H. et al, J. Virol. 71: 169-178,1997; Hwee Lee A. et al, Vaccine 17: 473-479, 1998; Tsuji T. et al, Immunol.158: 4008-4014, 1997; Rodriguez D. et al, Gen. Virol. 80: 217-223, 1999; Tsuji T. et al, Immunology 90: 1-6, 1997; Lu Y. et al, Clin. Exp. Immunol. 115: 335-341,1999) or a costimulatory adhesion receptor (CD86, CD58, CD54) (Tsuji T. et al, Eur. J. Immunol. 27: 782-787, 1997; Kim J.J. et al, J. Clin. Invest. 103: 869-877, 1999; Iwasaki A. et al, J. Immunol. 158: 4591-4601, 1997). Each of these cytokine and adhesion receptor genes increased immune responses to DNA vaccination, with some treatments enhancing CTL generation only, and some enhancing both CTL and antibody production. However, the levels of enhancement of the immune response to DNA vaccination obtained from these approaches are modest and not sustained, so it is important to find additional ways to enhance the immune response to DNA vaccines.

The CD40 receptor must be activated for an effective cellular or humoral immune response after exposure to antigen (Grewal I.S., and Flavell R.A., Annu. Rev. Immunol 16: 111-135, 1998). This conclusion is derived from multiple findings, including the phenotype of patients with hyper IgM (HIGM) syndrome that results from CD154

genetic defects (Aruffo A. et al, Cell 72: 291-300,1993; Fuleihan R. et al, Proc. Natl. Acad. Sci. USA 90: 2170-2173,1993; Korthauer U. et al, Nature 361: 539-541,1993), the phenotype of mice with CD40 or CD154 gene disruption (Grewal I.S. et al, Science 273: 1864-1867,1996; Kawabe T. et al, Immunity 1: 167-178,1994; Renshaw B. et al, J. Exp. Med. 180: 1889-1900,1994; Xu J. et al, Immunity 1: 423-431, 1994), and the effects of actively blocking CD40 in vivo using inhibitory antibodies to CD154 (Durie F.H. et al, Science 261: 1328-1330,1993; Foy T.M. et al, J. Exp. Med. 178: 1567-1575, 1993; Foy T.M. et al, J. Exp. Med. 180: 157-163,1994; Durie F.H. et al, J. Clin. Invest. 94: 1333-1338, 1994; Gerritsse K. et al, Proc. Nat. Acad. Sci. USA 93: 2499-2504, 1996). CD40 is expressed in several cell lineages, including B cells, dendritic cells, monocytes, epithelial cells, and endothelial cells. CD40 transmits signals for each of these cell types that regulates activation and differentiation (Hollenbaugh D. et al, EMBO J. 11: 4313-4321,1992; Kiener P.A. et al, J. Immunol. 155: 4917-4925,1995; Cella M. et al, J. Exp. Med. 184: 747-752,1996; Galy A.H., and Spits H., J. Immunol. 152: 775-782,1992; Clark E.A., and Ledbetter J.A., Proc. Natl. Acad. Sci. USA 83: 4494-4498, 1986). CD40 is activated by crosslinking during cell to cell contact with cells expressing CD40 ligand (CD154), primarily T cells. While soluble forms of CD154 can stimulate CD40, no attempts have been made to use or modify soluble CD154 to promote immune responses to antigens.

CD40 signals to B cells are required for isotype switching and affinity maturation through somatic mutation (Rousset F. et al, J. Exp. Med. 173: 705-710, 1991). In the absence of CD40 signals, germinal centers, the specialized sites of B cell maturation, are not formed, and B cells are unable to differentiate into IgG producing plasma cells (Foy T.M. et al, J. Exp. Med. 180: 157-163, 1994). Patients with HIGM syndrome are not able to form germinal centers or produce IgG antibodies after antigen challenge, and the same phenotype is seen in knockout mice where CD40 or CD154 is not expressed. The CD40 signal has been shown *in vitro* to promote survival of surface Ig-activated B cells, and to interact with signals from cytokines to induce immunoglobulin isotype switching to IgG, IgA, and IgE production (Holder M.J. et al, Eur. J. Immunol 23: 2368-2371,1993; Jabara H.H. et al, J. Exp. Med. 177: 925-935,1990; Grabstein K.H. et al, J. Immunol. 150: 3141-3147, 1993). In addition, HIGM syndrome patients and CD154 knockout mice have impaired lymphocyte proliferation in response to diphtheria toxoid,

tetanus, and Candida, showing that the CD40 signal is required for T cell priming to protein antigens (Grewal I.S., and Flavell R.A., Annu. Rev. Immunol 16: 111-135, 1998; Toes R.E.M. et al, Sem. Immun. 10: 443-448,1998; Grewal I.S. et al, Nature 378: 617-620,1995; Ameratunga R. et al, J. Pediatr. 131: 147-150,1997; Subauste C.S. et al, J. Immunol. 162: 6690-6700, 1999). Expression of CD154 in vivo to enhance immune responses utilized only the cell surface form of the molecule and resulted in significant toxicity in experimental animals, including induction of lethal autoimmune disease and T cell malignancies (Roskrow M.A et al, Leukemia Research 23: 549-557, 1999; Brown M.P. et al, Nature Medicine 4: 1253-1260, 1998).

In neonates, insufficient stimulation of CD40 due to low levels of expression of CD154 by activated T cells has been identified as a factor in the inability of infants to produce IgG antibodies towards bacterial antigens (Nonoyama S. et al, J. Clin. Invest. 95: 66-75, 1995; Fuleihan R. et al, Eur. J. Immunol. 24: 1925-1928, 1994; Brugnoni D. et al, Eur. J. Immunol. 24: 1919-1924, 1994). This suggests that CD40 signals are not ubiquitous and that highly restricted expression of CD154 may limit the extent of CD40 signaling and thus the magnitude and quality of an immune response. Direct evidence in support of this idea comes from a recent study where a modest increase (1.1-2 fold) in expression of cell surface CD154 in the thymus of mice resulted in a > 10 fold increase in the antigen-specific antibody response (Prez-Melgosa M. et al, J. Immunol. 163: 1123-1127, 1999). Some evidence suggests that CD40 stimulation may be deficient in HIV-1 infected individuals, since HIV gp120 suppressed the expression of CD154 by activated T cells in vitro, and production of IL12 is defective in HIV-1 positive individuals (Chirmule N. et al, J. Immunol. 155: 917-924, 1995; Taoufik Y. et al, Blood 89: 2842-2848, 1997; Yoo J. et al, J. Immunol. 157: 1313-1320, 1996; Ito M. et al, AIDS Res. Hum. Retroviruses 14: 845-849, 1998; Benyoucef S. et al, J. Med. Virol. 55: 209-214, 1998). In addition, CD40 stimulation of dendritic cells infected with HIV-1 was found to suppress virus replication, suggesting that transmission of HIV-1 from infected dendritic cells during antigen presentation could be blocked by CD40 signals (McDyer J.F. et al, J. Immunol. 162: 3711-3717, 1999). However, a method for stimulation of CD40 on cells actively presenting antigen to T cells while avoiding toxicity from unregulated CD40 stimulation is needed.

CD40 signals to dendritic cells or B cells causes their differentiation from an antigen uptake function to an antigen processing and presentation function (Sallusto D. et al, J. Exp. Med. 182: 389-400, 1995; Cella M. et al, J. Exp. Med. 184: 747-752, 1996; Faassen A.E. et al, Eur. J. Immunol. 25: 3249-3255, 1995). This shift is accompanied by reduction of the MHC class II intracellular compartment, increased expression of MHC class II on the cell surface, secretion of the Th1 regulatory cytokine IL12 and increased expression of CD86 and CD80. After CD40 activation, dendritic cells and B cells are able to more efficiently present antigen and give a critical costimulatory signal through CD28. The production of IL12 leads to enhanced secretion of IFNγ by T cells and suppression of Th2 cytokine production. The CD40 signal is therefore an important mediator of Th1 cellular immunity and CTL induction. However, selective stimulation of CD40 during antigen presentation is needed to enhance immune responses to vaccination.

In addition to B cells and dendritic cells, CD40 is functionally active on other APC's such as monocytes, where CD40 signals prevent cell death from apoptosis and induce expression of adhesion molecules and production of inflammatory cytokines TNFα and IL8 (Kiener P.A. et al, J. Immunol. 155: 4917-4925, 1995). CD40 has also been reported to be expressed and functionally active on thymic epithelial cells (Galy A.H., and Spits H., J. Immunol. 152: 775-782, 1992) and on many kinds of tumor cells, including carcinomas, melanomas, and lymphomas (Ledbetter J.A. et al, In Leucocyte Typing III: White Cell Differentiation Antigens p. 432-435, 1987; Oxford University Press, Oxford, U.K.; Paulie S. et al, Cancer Immunol. Immunother. 20: 23-28, 1985). In contrast to most normal cells where the CD40 signal enhances survival, in many malignant cells CD40 actively promotes death by apoptosis. Therefore CD40 is functionally active in all cell types that express the receptor, and CD40 signals are central to fundamental processes of survival and differentiation. Because of the widespread expression of functional CD40, localized stimulation of CD40 positive cells that present specific antigen to T cells is desirable so that only APC involved in the specific immune response are activated.

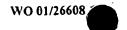
Studies in CD154 knockout mice have confirmed the importance of CD40 activation for the antigen specific priming of T cells. CD154 deficient mice have an

enhanced susceptibility to *Leishmania major* and *Toxoplasma gondii* infection, consistent with a central role for CD40 in cellular immunity (Subauste C.S. et al, J. Immunol. 162: 6690-6700, 1999; Campbell K.A. et al, Immunity 4: 283-289, 1996). CTL generation after viral infection in CD154 deficient mice is markedly blunted, and induction of experimental allergic encephalomyelitis (EAE) in response to myelin basic protein does not occur (Grewal I.S. et al, Science 273: 1864-1867, 1996; Grewal I.S. et al, 378: 617-620, 1995). The defect in T cell priming in these models appears to be due to an inability of APC to provide costimulatory signals to T cells (Grewal I.S. et al, Science 273: 1864-1867, 1996; Yang Y. and Wilson J.M., Science 273: 1862-1867, 1996).

Inhibition of CD40 *in vivo* has been studied in mice using a mAb, MR1, that binds and blocks the CD40 ligand, CD154 (Durie F.H. et al, Science 261: 1328-1330, 1993; Foy T.M. et al, J. Exp. Med. 178: 1567-1575, 1993; Foy T.M. et al, J. Exp. Med. 180: 157-163, 1994; Durie F.H. et al, J. Clin. Invest. 94: 1333-1338, 1994; Gerritsse K. et al, Proc. Nat. Acad. Sci. USA 93: 2499-2504, 1996). These experiments demonstrated that anti-CD154 prevents the induction of autoimmune diseases, including EAE after immunization with myelin basic protein, oophritis after immunization with zona pelucida antigen (ZP3), and spontaneous disease in lupus prone mice (Griggs N.D. et al, J. Exp. Med. 183: 801-807, 1996; Daikh D.I. et al, J. Immunol. 159: 3104-3108, 1997). Anti-CD154 was also effective in preventing both chronic and acute graft versus host (GVH) disease and in preventing rejection of heart allografts after transplantation (Larsen C.P. et al, Nature 381: 434-438, 1996). Thus, CD40 signals are required for T cell responses to antigen, and restriction of the CD40 signal with specific inhibitors is an effective method of limiting T cell priming during an immune response.

The CD40 receptor is therefore a proven target for regulation of antigen specific immunity. While biological inhibitors of CD40 have been studied extensively in mice and in nonhuman primates, there is a need for localized stimulation of CD40 on cells that present antigens to T cells in order to improve the effectiveness of vaccines.

Gp160, the product of the HIV-1 env gene, is cleaved in the Golgi complex into gp120 and gp41 proteins that remain associated through noncovalent interactions. Most



neutralizing epitopes of the virus are located on gp120 and gp41, and are expressed by the intact env complex that has been shown to be a trimer (Kwong P.D. et al, Nature 393: 648-659, 1998). Monomeric gp120 can be released from the complex and expose immunodominant epitopes that are non-neutralizing and are located on the internal face of gp120 in the intact trimeric complex (Wyatt R. et al, Nature 393: 705-711, 1998; Broder C.C. et al, PNAS USA 91: 11699-11703, 1994). Thus, stabilization of the env complex is needed for an HIV-1 vaccine in order to preserve conformational epitopes important for neutralization and to mask immunodominant epitopes that are not relevant for neutralization of the env complex.

One attempt to produce a stable, properly folded gp120-gp41 complex was made by altering the cleavage site in gp160 between the gp120 and gp41 domains (Earl P.L. et al, J. Virol. 68: 3015-3026, 1994). By introducing a stop codon before the transmembrane domain of gp41, a soluble molecule composed of gp120 and the extracellular domain of gp41 was produced as a complex that folds properly to bind the CD4 receptor and to express some conformational epitopes. However, this molecule formed dimers and multimers rather than the stable trimers that comprise the native structure of the envelope glycoprotein as revealed in the crystal structure of the gp120 complex.

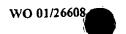
Three major sites of gp120 have been identified that are involved in cross-neutralization of diverse viral strains (Wyatt R. et al, Nature 393: 705-711, 1998). The V3 domain was found to express linear and conformational epitopes that can be recognized by antibodies that neutralize HIV-1. Although the V3 domain is a variable region, it contains a central portion shared by many HIV-1 isolates, particularly those found in the United States and Europe. The central portion has been called the principle neutralization epitope and is formed from a linear epitope of the amino acid sequence GPGRAF (Broliden P.A. et al, Proc. Natl. Acad. Sci. USA 89: 461-465, 1992; Broliden P.A. et al, Immunol. 73: 371-376, 1991; Javaherian K. et al, Science 250: 1590-1593, 1990; Javaherian K. et al, Proc. Natl. Acad. Sci. USA 86: 6768-6772, 1989). Conformational epitopes of the V3 loop have also been identified that can be recognized by antibodies that are more broadly neutralizing.

The CD4 binding domain of gp120 is another neutralization site for antibodies directed to HIV-1 env. This domain is a nonlinear, conformational site that depends upon proper folding of gp120 (Kang C.-Y. et al, Proc. Natl. Acad. Sci. USA: 6171-6175, 1991; Lasky L.A. et al, Cell 50: 975-985, 1987). Antibodies can recognize distinct portions of the CD4 binding domain, and may have either type-specific or cross-neutralization properties (Pinter A. et al, AIDS Res. Hum. Retro. 9: 985-996, 1993). Although monomeric gp120 can retain CD4 binding function, a stable trimeric structure of gp120 is thought to be important for masking immunodominant epitopes that are expressed on the internal face of the intact complex (Wyatt R. et al, Nature 393: 705-711, 1998). A third domain of gp120 involved in virus neutralization is exposed upon binding to CD4, and functions to bind the chemokine coreceptor to allow virus entry into the cell (Rizzuto C.D. et al, Science 280: 1949-1953, 1998). Thus a stable trimer of HIV-1 env is needed to present the major cross-neutralization epitopes and to prevent exposure of internal, immunodominant epitopes that do not induce neutralizing antibodies.

CD154 is a TNF-related, type II membrane protein that forms stable trimers (Mazzei G.J. et al, J. Biol. Chem. 270: 7025-7028, 1995). Soluble fusion proteins of human CD154 have been expressed using murine CD8 at the amino terminal side of the CD154 molecule (Hollenbaugh D. et al, EMBO J. 11: 4313-4321, 1992). Single chain Fv (scFv) molecules have also been constructed using heavy and light chain variable regions cloned from the G28-5 hybridoma that produces antibody specific for human CD40 (Ledbetter J.A. et al, Crit. Rev. Immunol.17: 427-435, 1997). Both CD154 and G28-5 scFv fusion proteins retain functional activity as soluble molecules *in vitro*. However, no use of these molecules to improve the effectiveness of vaccines has been found.

#### DISCLOSURE OF INVENTION

For vaccines to be effective, they must induce both humoral and cellular immune responses. This invention describes improved vaccines that target antigens to cell surface receptors. DNA vaccines are a recent addition to immunization technology. However, further optimization of DNA vaccines is needed to induce long-lasting



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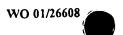
protection against tumor antigens, virulent HIV-1 isolates, and other pathogenic microorganisms. Receptor activation and targeting improves the ability of DNA vaccines to generate strong cellular immunity and high titers of neutralizing antibodies. CD40 is a preferred receptor for targeting and activation. DNA vaccines encoding CD40 ligand (CD154) or a single chain Fv (scFv) specific for CD40, fused with DNA encoding portions of the HIV-1 env protein are preferred embodiments of the invention. A molecule comprising the extracellular domain of HIV-1 env gp160 or env gp120 linked to the extracellular domain of CD154 is a stable trimer that improves immune recognition of HIV-1 env cross-neutralization epitopes. After DNA vaccination, the expression of the fusion protein in vivo results in both activation of the CD40 receptor and direction of HIV-1 env antigens into the endocytic pathway of CD40 positive antigen presenting cells (APC). Internalization of env antigens after binding the CD40 receptor enhances presentation of peptides by MHC molecules. Activation of the CD40 receptor promotes B cell and APC maturation leading to effective antibody production and generation of CD4+ helper T cell and CD8+ CTL activity. The combination of CD40 activation, stabilization of the HIV-1 gp160 or gp120 env trimer, and enhanced presentation of antigenic peptides by MHC molecules thus improves immune responses to HIV-1 antigens. Protein molecules of the invention can be injected directly into mammals or encoded by DNA vaccines.

### **BRIEF DESCRIPTION OF DRAWINGS**

Figure 1.

Schematic representation of fusion proteins that target antigen to cell surface receptors expressed by antigen presenting cells.

- A. A fusion protein expressed from a cDNA construct that encodes an antigen domain attached with a linker to a receptor targeting domain. The antigen domain may be attached to the amino terminus of the receptor targeting domain as shown, or may be attached to the carboxy terminus of the receptor targeting domain.
- B. A fusion protein expressed from a cDNA construct that encodes the HIV env antigen or a subdomain, is attached to the amino terminus of the CD154 extracellular domain.



- C. A fusion protein expressed from a cDNA construct that encodes the HIV env antigen or a subdomain, is attached to the amino terminus of a single chain Fv
- D. A fusion protein expressed from a cDNA construct as in C, except that the scFv that binds CD40 is oriented with the light chain variable region (VL) attached to the carboxy-terminus of the heavy chain variable region (V<sub>H</sub>).
- E. A fusion protein expressed from a cDNA construct that encodes the HIV env antigen or a subdomain, is attached to a camelid variable region (V<sub>HH</sub>) that binds CD40.
- F. A fusion protein expressed from a cDNA construct that encodes the HIV env antigen or a subdomain, is attached to a peptide that binds CD40.

#### Figure 2.

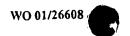
specific for CD40.

A. Sequence of two cDNAs encoding HIV gp120-V3 loop/CD154 long form extracellular domain fusion proteins.

The sequence of a cDNA construct and corresponding fusion protein encoding the HIV V3 loop from gp120 with a (ProAspPro) linker (SEQUENCE ID NO.: 17 [DNA] OR SEQUENCE ID NO.: 25 [FUSION PROTEIN]) or a (Gly<sub>4</sub>Ser)<sub>3</sub> linker (SEQ. ID NO.: 16 [DNA] OR SEQ. ID NO.:24 [FUSION PROTEIN]) fused to the CD154 extracellular domain encoded between amino acids 48 (Arg)-261(Leu), with an additional (Glu) residue at the carboxyl end of the protein, not found in wild type CD154. The sequence of the fusion protein is indicated using the three-letter amino acid code convention, above each codon of the open reading frame. Relevant restriction sites are indicated on the drawing and the nucleotides encoding sites at domain fusion junctions are displayed in boldface type, while the first codon of each fused domain is indicated in underlined, italicized type. The protein domains are labeled above the relevant position in the sequence. The nucleotide number is indicated in the left margin with a designation for the PDP linker form or the G4S linker form.

B. Sequence of two cDNAs encoding HIV V3 loop-CD154 short form extracellular domain fusion proteins.

The two HIV V3 loop constructs with alternate linkers, either (ProAspPro) (SEQUENCE ID NO.:19 [DNA] OR SEQUENCE ID NO.: 27 [FUSION PROTEIN]) or (Gly4Ser)3 (SEQUENCE ID NO.: 18 [DNA] OR SEQUENCE ID NO.: 26 [FUSION PROTEIN])



were also fused to the short form of the CD154 extracellular domain encoded from amino acids 108 (Glu)-261 (Leu), plus an extra glutamic acid residue at the carboxy terminus, not encoded by wild type CD154. All sequences are labeled as described for Figure 2A.

#### Figure 3.

Λ. Sequence of two HIV gp120env-CD154 long form extracellular domain cDNA and the predicted fusion proteins.

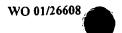
The sequence of a cDNA construct and corresponding fusion protein encoding the HIV gp120 with a (ProAspPro) linker (SEQ. ID NO.: 13 [DNA] OR SEQ. ID NO.: 21 [FUSION PROTEIN]) or a (Gly<sub>4</sub>Ser)<sub>3</sub> linker (SEQ. ID NO.: 12 [DNA] OR SEQ. ID NO.: 20 [FUSION PROTEIN]) fused to the CD154 extracellular domain (Long Form) encoded between amino acids 48 (Arg)-261(Leu) + (Glu). All sequences are labeled as described for Figure 2A.

B. Sequence of two HIV gp120env-CD154 short form extracellular domain cDNAs and the predicted fusion proteins.

The sequence of a cDNA construct and corresponding fusion protein encoding the HIV gp120 with a (ProAspPro) linker (SEQ. ID NO.: 15 [DNA] or SEQ. ID NO.: 23 [fusion protein]) or a (Gly4Ser)3 linker (SEQ. ID NO.: 14 [DNA] or SEQ. ID NO.: 22 [fusion protein]) fused to the short form of the CD154 extracellular domain encoded between amino acids 108 (Glu)-261 (Leu) + (Glu). All sequences are labeled as described for Figure 2A.

## BEST MODES FOR CARRYING OUT THE INVENTION:

This invention relates to improved vaccines comprising one or more antigens attached to a domain that targets at least one cell surface receptor. The vaccine may be delivered either as a protein, as a DNA plasmid, or by a viral vector. The expression of the DNA after injection of the plasmid or viral vector *in vivo* results in the secretion of the antigen(s) attached to a targeting domain, directing the antigen(s) to a cell surface receptor. Receptor-mediated internalization of the antigen into the endocytic compartment of cells that express the receptor enhances the presentation of antigenic peptides by MHC class II molecules that circulate through this compartment.



Presentation of antigenic peptides by MHC class I molecules is mediated by the cells expressing the DNA vaccine, and is enhanced in cells that internalize the antigentargeting domain fusion protein by movement of the fusion protein from the endocytic compartment into the cytoplasm. The activation of antigen-specific CD4+ T cells and

CD8+ T cells is increased, resulting in better humoral and cellular immune responses.

The preferred receptor(s) chosen for antigen targeting are those expressed by antigen presenting cells (APC), such as dendritic cells. Desirable receptors for targeting include but are not limited to CD80, CD86, CD83, CD40, CD32, CD64, Dec 205, Flt3, and ICOS ligand. The CD40 receptor is a preferred receptor for antigen targeting, since signals from CD40 regulate activation and differentiation of APC. Fusion proteins of antigen and CD154 (CD40 ligand) combine the functions of antigen targeting and activation of APC by simultaneous delivery of CD40 signals.

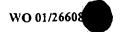
The preferred antigen(s) for receptor targeting are HIV-1 and HIV-2 viral antigens, since vaccines have not been effective in protecting against virulent viral isolates. Attachment of HIV-1 gp160 or gp120 extracellular domain to CD154 extracellular domain stabilizes the trimeric structure of HIV-1 env. However, the invention is not limited to HIV env antigens, since improved immune responses to vaccines are needed to provide long-lasting protection against infection with high doses of pathogenic microorganisms or against tumors.

Thus the structure of the invention's main embodiment is a DNA plasmid encoding the extracellular domain of HIV-1 env gp160 attached to the CD154 extracellular domain.

The fusion protein expressed from this DNA plasmid a) stabilizes the trimeric structure of HIV-1 env, b) directs the HIV-1 antigen into the MHC class II compartment of CD40 positive cells, and c) selectively activates the CD40 receptor to increase APC functional activity.

The main embodiment of the invention encodes a stable trimer that expresses the major cross-neutralization epitopes of HIV-1 env while masking the internal env

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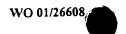
4.

epitopes that are not involved in virus neutralization. Antigenic peptides of HIV env are presented by MHC class I molecules by cells that express the DNA, while antigenic peptides of HIV env are presented by MHC class II molecules in CD40 positive cells that internalize the trimeric antigen-CD154 fusion protein. Activation of the CD40 receptor on cells bound by the antigen-CD154 fusion protein increases the specific immune response due to increased production of IL12 and increased expression of costimulatory molecules CD80 and CD86.

An improved DNA vaccine for AIDS comprising the extracellular domain of HIV-1 gp160, HIV-1 gp120, or a subdomain of these antigens fused to the extracellular domain of CD154 is described. Alternative embodiments of the invention use a smaller portion of the CD154 molecule composed of an 18 kDa subunit from Glu-108 to Leu-261 (Mazzei G.J. et al, J. Biol. Chem. 270: 7025-7028, 1995). The extracellular domain of gp160 can also be shortened by removing the gp41 domain, removing the V1 and V2 domains, or mutating the glycosylation sites without damaging the conformational structure of the HIV-1 envelope (Kwong P.D. et al, Nature 393: 648-659, 1998). These changes could further improve the activity of the vaccine, since the V1 and V2 loops, and the carbohydrate structures are thought to be exposed, clade specific epitopes that prevent or dilute the immune response to important cross-neutralization epitopes for diverse clades of HIV-1. Linkers between gp160 and CD154 can also be used. Thus, alternative embodiments of the invention minimize the CD154 domain, remove gp41, V1, V2, or glycosylation sites of gp160. This invention also envisions DNA vaccines comprising other HIV-1 antigens and antigens from alternative isolates of HIV-1, fused to the extracellular domain of CD154.

Delivery of antigen(s) to the CD40 receptor may use anti-CD40 scFv instead of CD154. Single antibody variable regions (V<sub>HH</sub>) or peptides that bind CD40 are also included in the scope of the invention.

Antigen targeting to receptors is not limited to the CD40 receptor. Alternative receptors preferred for targeting include CD80, CD86, Dec205, ICOS ligand, Flt3, Fc receptors, and CD83. All cell surface receptors are envisioned by this invention. Receptors may be targeted by ligands, scFv molecules, single variable regions or



peptides. Additional methods of attachment of antigen(s) to receptor targeting domains are envisioned, including chemical linkages of subunits, disulfide bonds, or noncovalent attachments such as leucine zipper motifs and the like. The invention contemplates injection of protein, injection of DNA plasmids, or viral vectors encoding the molecules comprising one or more antigens linked to a receptor-binding domain.

Antigens targeted to cell surface receptors are not limited to HIV gp160 antigens. Other antigens, including tumor antigens, parasite antigens, bacterial antigens, and viral antigens are included in the scope of the invention.

The invention also envisions delivery of antigens to cell surface receptors in order to induce antigen-specific tolerance or nonresponsiveness. For this application, an autoantigen would be chosen and the vaccine would be used to treat autoimmune disease.

The invention also envisions antigen(s) that are natural components of the body, such as tumor-associated antigens, where an immune response to the antigen(s) breaks tolerance to the antigen, resulting in a change in immune homeostasis.

The following examples describe particular embodiments of the invention but are not meant to limit its scope.

#### EXAMPLE 1

A preferred embodiment of the DNA vaccine includes an amino-terminal secretory signal peptide sequence upstream and adjacent to a cDNA sequence cassette encoding the desired antigen. This molecule is then fused to the extracellular domain of CD154 or to a portion of the extracellular domain of CD154 which retains the ability to bind CD40, or to an scFv targeted to CD40, to create a fusion protein expression cassette that targets the antigen to the antigen presenting cell through the CD40 receptor as diagrammed in Figure 1. The expression cassette is inserted into an appropriate mammalian expression vector or virus to achieve high level expression of the fusion protein either *in vitro* or *in vivo*.

The leader peptide is encoded on complementary oligonucleotides with a single-stranded HindIII cohesive end at the 5' terminus, and a BglII cohesive end at the 3'



terminus. The sense oligonucleotide is designated SEQUENCE ID NO: 1 or HBLPS and the sequence is as follows:

5'agettgccgccatgctgtatacctctcagctgttaggactacttctgttttggatctcggcttcga-3'.

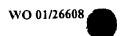
The antisense oligonucleotide is designated SEQUENCE ID NO: 2 or HBLPAS and the sequence is as follows:

5'gatctcgaagcccgagatccaaaacagaagtagtcctaacagctgagaggtatacagcatggcggca-3'. The two molecules anneal to one another except at the overhanging nucleotides indicated in boldface type. Alternative embodiments could include other secretory signal peptides or localization sequences.

The extracellular domain of human CD154 was PCR amplified using cDNA generated with random primers and RNA from human T lymphocytes activated with PHA (phytohemagglutinin). Two different fusion junctions were designed which resulted in a short or truncated form (form S4) including amino acids 108 (Glu)-261 (Leu)+(Glu), and a long or complete form (form L2) including amino acids 48 (Arg) - 261 (Leu)+(Glu) of the extracellular domain of CD154. The sense primer which fuses the extracellular domain to the targeted antigen includes a BamHI site for cloning that introduces the peptide sequence PDP or (ProAspPro) at the fusion junction and can also encode a linker peptide such as (Gly4Ser)<sub>3</sub> to separate the antigen from the extracellular domain. The oligonucleotide primers used in amplifying the short form (S4) of the CD154 extracellular domain encoding amino acids 108 (Glu)-261 (Leu)+(Glu) are as follows:

The sense primer is designated SEQUENCE ID NO: 3 or CD154BAM108 and encodes a 34 mer with the following sequence: 5'-gtt gtc gga tcc aga aaa cag ctt tga aat gca a-3', while the antisense primer is designated SEQUENCE ID NO: 4 or CD154XBA and encodes a 44 mer with the following sequence: 5'-gtt gtt tct aga tta tca ctc gag ttt gag taa gcc aaa gga cg-3'.

The oligonucleotide primers used in amplifying the long form (L2) of the CD154 extracellular domain encoding amino acids 48 (Arg)-261 (Leu) + (Glu), are as follows: The sense primer is identified as SEQUENCE ID NO: 5 or CD154 BAM48 and encodes a 35 mer with the following sequence: 5'-gtt gtc gga tcc aag aag gtt gga caa gat aga ag-3', while the antisense primer is also SEQUENCE ID NO: 4 or CD154XBA encoding the 44 mer: 5'-gtt gtt tct aga tta tca ctc gag ttt gag taa gcc aaa gga cg-3'.



A variety of different antigens can be encoded on cDNA cassettes to be inserted between the leader peptide cassette and the CD40 targeted domain (such as a truncated or complete CD154 extracellular domain or a CD40 specific scFv). In a preferred embodiment of the invention, the cDNA antigen encoded by the vaccine is the HIV-1 gp 120 or a fragment of this antigen, such as the V3 loop. The primer sets used to amplify the complete gp120 domain include the sense primer SEQUENCE ID NO: 6 or GP120Bgl2f 5'-gga tat tga tga gat cta gtg cta cag-3' and one of two antisense primers encoding different linkers. Either the antisense primer encoding the ProAspPro linker, identified as SEQUENCE ID NO: 7 or GP120PDPr 5'-gaa cac age tee tat tgg atc egg tet ttt ttc tct ttg cac-3' or the antisense primer encoding the (Gly<sub>4</sub>Ser)<sub>3</sub> linker, identified as SEQUENCE ID NO: 8 or GP120G4Sr 5'-cct gca tgg atc cga tcc gcc acc tcc aga acc tcc acc tee tga acc gee tee eee tet ttt tte tet ttg cae tgt tet tet ett tge-3' were used to amplify the gp120 domain with the desired linker attached. PV75Kgp160(89.6) DNA was used as template in PCR reactions. Alternatively, other isolates or sequence variants of gp120 or gp160 are available and can be substituted to create novel fusion cassettes. PCR amplification reactions were performed using cloned plasmid DNA as template (approximately 45 ng), 3 mM MgCl<sub>2</sub>, 0,3 MM dNTPs, 1/10 volume 10X reaction buffer supplied by the manufacturer, 10 pmol sense primer, 10 pmol antisense primer, and 2.5 units TAQ polymerase (Takara Pharmaceuticals) in a total reaction volume of 50 μl. The amplification profile included an initial 4 minute 94°C denaturation, followed by a 30 cycle program of 50°C annealing for 30 seconds, 72°C extension for 30 seconds, and 94°C denaturation for 30 seconds. PCR fragments were purified by ethanol precipitation, resuspended in 30 µl ddH<sub>2</sub>O and 10 µl was digested with BgIII (Roche) restriction endonuclease in a 20 µl reaction volume at 37°C for 3 hours. Fragments were gel purified, purified using QIAEX kits according to the manufacturer's instructions (QIAGEN, San Diego, CA), and ligated along with the annealed leader peptide oligonucleotides to HindIII-BamHI digested expression vector already containing the CD154 extracellular domain as a BamHI-XbaI fragment. Recombinant clones were screened for the correct orientation and presence of inserts, and the resulting positive clones were verified by DNA sequencing using an ABI 310 sequence analyzer and the ABI Prism Dye Terminator Reaction Chemistry. The final fusion cassette encodes the synthetic leader peptide fused to the HIV gp120 domain with either a (ProAspPro) linker

or a (Gly<sub>4</sub>Ser)<sub>3</sub> linker, and then to the CD154 extracellular domain long (Figure 3A) or short (Figure 3B) form to create the embodiments of example 1.

#### **EXAMPLE 2**

In an alternative preferred embodiment, the V1 and V2 domains of gp120 are removed and only the V3 loop domain from HIV gp 120 is encoded on a BglII-BamHI fragment and fused to the signal peptide and the CD154 extracellular domain to create the vaccine, as illustrated in Figure 2A and B. This antigen domain is separated from the CD154 short (Figure 2B) or long extracellular domain (Figure 2A) by a peptide linker encoding the amino acids (ProAspPro), or a longer peptide linker encoding the amino acids (Gly<sub>4</sub>Ser)<sub>3</sub>.

The V3 loop was PCR amplified from pV75 (gp 89.6), a plasmid containing HIV gp120 from isolate LAV, using the following primer set:

The antisense primer encoding a ProAspPro linker is SEQUENCE ID NO: 9 or V3PDPr 5'-gtt att cca tgg atc cgg act aat ctt aca atg tgc ttg-3'

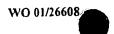
The sense primer fusing the antigen to the signal peptide is SEQUENCE ID NO: 10 or V3Bgl2f

5'-gta cag cta aat aga tct gta gta att aat tg-3'

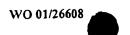
The antisense primer encoding a (Gly<sub>4</sub>Ser)<sub>3</sub> linker is SEQUENCE ID NO: 11 or V3G4Sr 5'-ggt gca tgg atc cga acc tcc acc gcc aga tcc acc gcc tcc tga ggc acc gcc acc act aat gtt aca atg tgc ttg ttg tct tat atc tcc-3'.

Amplification, digestion, purification, and ligation conditions were identical to those described above for the full-length gp120 domain. The final fusion cassettes encode the HIV gp120-V3 loop with either a (ProAspPro) linker or a (Gly<sub>4</sub>Ser)<sub>3</sub> linker fused to either the CD154 extracellular domain as diagrammed in Figure 2A for the long form, and Figure 2B for the short form of the CD40 binding domain.

Other antigens and linkers can be substituted to create alternative vaccines by construction of the appropriate cDNA cassettes encoding the desired domains and attaching them to the CD154 extracellular domain. Because of the high degree of sequence variation among HIV isolates, alternative sequences might be incorporated as needed to target particular clades. Other viral antigens such as HIV tat or their



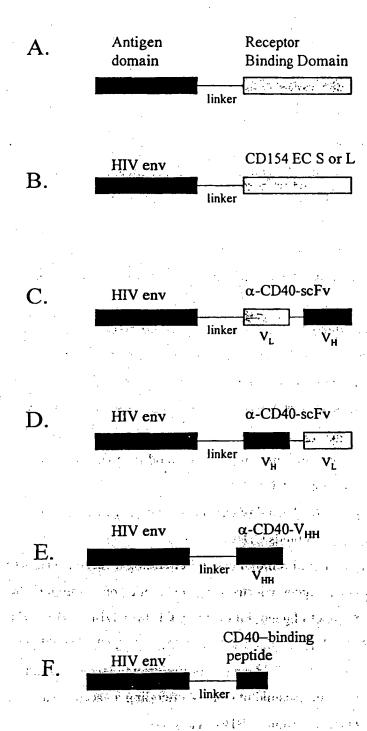
subdomains can be substituted for the HIV domains described here. Similarly, an alternate APC targeted domain can be substituted for the CD40 binding domain, such as a domain which binds to CD80 or CD86, or to ICOS ligand, or to one of several other cell surface receptors expressed on antigen presenting cells. Surface receptors that internalize readily are preferred over receptors that contain multiple transmembrane domains and do not internalize readily such as G-protein coupled chemokine receptors.



#### CLAIMS: We claim:

- 1. A vaccine comprising one or more antigens linked to a domain that binds at least one receptor.
- 2. A vaccine of claim 1 where said receptor is CD40.
- 3. A vaccine of claim 1 where said domain is CD154 or a portion of CD154.
- 4. A vaccine of claim 1 where said domain is a single chain Fv that binds CD40.
- 5. A vaccine of claim 1 where said domain binds to one or more receptors selected from the group consisting of CD80, CD86, CD32, CD64, CD83, ICOS ligand, Flt3, CD10, CD11, CD14, CD15, CD16, CD18, CD19, CD20, CD21, CD22, CD23, CD37, CD38, CD39, CD43, CD56, CD58, CD72, CD75, CD76, CD77, CD78, and cytokine/growth factor receptors.
- 6. A vaccine of claim 1 where said antigen is HIV-1 gp160 or a portion of HIV-1 gp160.
- 7. A vaccine of claim 1 where said antigen is a tumor antigen or a microbial antigen.
- 8. A DNA expression plasmid encoding a vaccine comprising one or more antigens linked to a domain that binds at least one receptor.
- 9. A DNA expression plasmid of claim 8 encoding a vaccine where said receptor is CD40.
- 10. A DNA expression plasmid of claim 8 encoding a vaccine where said domain is CD154 or a portion of CD154.
- 11. A DNA expression plasmid of claim 8 encoding a vaccine where said domain is a single chain Fv that binds CD40.
- 12. A DNA expression plasmid of claim 8 encoding a vaccine where said domain binds to one or more antigens selected from the group consisting of CD80, CD86, CD32, CD64, CD83, ICOS ligand, Flt3, CD10, CD11, CD14, CD15, CD16, CD18, CD19, CD20, CD21, CD22, CD23, CD37, CD38, CD39, CD43, CD56, CD58, CD72, CD75, CD76, CD77, CD78, and cytokine/growth factor receptors.
- 13. A DNA expression plasmid of claim 8 encoding a vaccine where said antigen is HIV-1 gp160 or a portion of HIV-1 gp160.
- 14. A DNA expression plasmid of claim 8 encoding a vaccine where said antigen is a tumor antigen or a microbial antigen.

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Figure 1.
Fusion Proteins that Target Antigen to APC



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#### Figure 2A.

Sequence and translation of two cDNAs encoding HIV gp120 V3 loop-CD154 LONG form extracellular domain fusion proteins.

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HindIII
                      Signal Peptide
                      Met Leu Tyr Thr Ser Gln Leu Leu Gly Leu Leu
      AAG CTT GCC GCC ATG CTG TAT ACC TCT CAG CTG TTA GGA CTA CTT
                                  BglII
                                  ----- HIVgp120-V3 loop
      Leu Phe Trp Ile Ser Ala Ser Arg Ser Val Val Ile Asn Cys Thr
      CTG TTT TGG ATC TCG GCT TCG AGA TCT GTA GTA ATT AAT TGT ACA
 46
      Arg Pro Asn Asn Asn Thr Arg Arg Leu Ser Ile Gly Pro Gly
      AGA CCC AAC AAC AAT ACA AGA AGA AGG TTA TCT ATA GGA CCA GGG
 91
      Arg Ala Phe Tyr Ala Arg Arg Asn Ile Ile Gly Asp Ile Arg Gln
      AGA GCA TTT TAT GCA AGA AGA AAC ATA ATA GGA GAT ATA AGA CAA
136
      Ala His Cys Asn Ile Ser
      GCA CAT TGT AAC ATT AGT
181
      ProAspPro Linker
         BamHI
      Pro Asp Pro
      CCG GAT CCA
199
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OR (Gly4Ser) 3 Linker

BamHI

Gly Gly Gly Gly Ser Gly Gly Gly Ser Gly Gly Gly Gly Ser Asp Pro GGT GGC GGT GGC TCA GGA GGC GGT GGA TCT GGC GGT GGA GGT TCG GAT CCA

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CD154 LONG extracellular domain
          Arg Arg Leu Asp Lys Ile Glu
208PDP
          AGA AGG TTG GAC AAG ATA GAA
250GS
          Asp Glu Arg Asn Leu His Glu Asp Phe Val Phe Met Lys Thr Ile
229PDF
          GAT GAA AGG AAT CTT CAT GAA GAT TTT GTA TTC ATG AAA ACG ATA
271GS
          Gln Arg Cys Asn Thr Gly Glu Arg Ser Leu Ser Leu Leu Asn Cys
274PDP
          CAG AGA TGC AAC ACA GGA GAA AGA TCC TTA TCC TTA CTG AAC TGT
316GS
          Glu Glu Ile Lys Ser Gln Phe Glu Gly Phe Val Lys Asp Ile Met
319PDP
          GAG GAG ATT AAA AGC CAG TTT GAA GGC TTT GTG AAG GAT ATA ATG
361GS
          Leu Asn Lys Glu Glu Thr Lys Lys Glu Asn Ser Phe Glu Met Gln
364PDP
          TTA AAC AAA GAG GAG ACG AAG AAA GAA AAC AGC TTT GAA ATG CAA
406GS
          Lys Gly Asp Gln Asn Pro Gln Ile Ala Ala His Val Ile Ser Glu
409PDP
          AAA GGT GAT CAG AAT CCT CAA ATT GCG GCA CAT GTC ATA AGT GAG
451GS
          Ala Ser Ser Lys Thr Thr Ser Val Leu Gln Trp Ala Glu Lys Gly
454PDF
          GCC AGC AGT AAA ACA ACA TCT GTG TTA CAG TGG GCT GAA AAA GGA
496GS
          Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly Lys
499PDP
          TAC TAC ACC ATG AGC AAC AAC TTG GTA ACC CTG GAA AAT GGG AAA
541GS
          Gln Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln
544PDP
          CAG CTG ACC GTT AAA AGA CAA GGA CTC TAT TAT ATC TAT GCC CAA
586GS
          Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe
589PDP
          GTC ACC TTC TGT TCC AAT CGG GAA GCT TCG AGT CAA GCT CCA TTT
631GS
          Ile Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile
634 PDP
          ATA GCC AGC CTC TGC CTA AAG TCC CCC GGT AGA TTC GAG AGA ATC
676GS
          Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly
679PDP
          TTA CTC AGA GCT GCA AAT ACC CAC AGT TCC GCC AAA CCT TGC GGG
721GS
          Gln Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly
724PDP
          CAA CAA TCC ATT CAC TTG GGA GGA GTA TTT GAA TTG CAA CCA GGT
766GS
          Ala Ser Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His
769PDP
          GCT TCG GTG TTT GTC AAT GTG ACT GAT CCA AGC CAA GTG AGC CAT
811GS
          Gly Thr Gly Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu *** ***
814PDP
          GGC ACT GGC TTC AGG TCC TTT GGC TTA CTC AAA CTC GAG TGA TAA
856GS
           XbaI
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859PDP ~~~~~ 901GS TCT AGA 199

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#### Figure 2B.

Sequence and translation of two cDNAs encoding HIV gp120 V3 loop-CD154 SHORT form extracellular domain fusion proteins.

HindIII Signal Peptide Met Leu Tyr Thr Ser Gln Leu Leu Gly Leu Leu AAG CTT GCC GCC ATG CTG TAT ACC TCT CAG CTG TTA GGA CTA CTT. BglII HIVgp120-V3 loop Leu Phe Trp Ile Ser Ala Ser Arg Ser Val Val Ile Asn Cys Thr CTG TTT TGG ATC TCG GCT TCG AGA TCT GTA GTA ATT AAT TGT ACA 46 Arg Pro Asn Asn Asn Thr Arg Arg Arg Leu Ser Ile Gly Pro Gly AGA CCC AAC AAC AAT ACA AGA AGA AGG TTA TCT ATA GGA CCA GGG 91 Arg Ala Phe Tyr Ala Arg Arg Asn Ile Ile Gly Asp Ile Arg Gln AGA GCA TTT TAT GCA AGA AGA AAC ATA ATA GGA GAT ATA AGA CAA 136 Ala His Cys Asn Ile Ser GCA CAT TGT AAC ATT AGT 181 ProAspPro Linker BamHI Pro Asp Pro

OR (Gly4Ser) 3 Linker

CCG GAT CCA

BamHI

Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly Gly Ser Asp Pro 199GGT GGC GGT GGC TCA GGA GGC GGT GGA TCT GGC GGT GGA GGT TCG GAT CCA

CD154 SHORT extracellular domain Glu Asn Ser Phe Glu Met Gln 208PDP GAA AAC AGC TTT GAA ATG CAA 250GS Lys Gly Asp Gln Asn Pro Gln Ile Ala Ala His Val Ile Ser Glu 229PDP AAA GGT GAT CAG AAT CCT CAA ATT GCG GCA CAT GTC ATA AGT GAG 271GS Ala Ser Ser Lys Thr Thr Ser Val Leu Gln Trp Ala Glu Lys Gly 274 PDP GCC AGC AGT AAA ACA ACA TCT GTG TTA CAG TGG GCT GAA AAA GGA 316GS Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly Lys 319PDP TAC TAC ACC ATG AGC AAC AAC TTG GTA ACC CTG GAA AAT GGG AAA 361GS Gln Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln 364 PDP CAG CTG ACC GTT AAA AGA CAA GGA CTC TAT TAT ATC TAT GCC CAA 406GS Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe 409PDP GTC ACC TTC TGT TCC AAT CGG GAA GCT TCG AGT CAA GCT CCA TTT 451GS Ile Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile ATA GCC AGC CTC TGC CTA AAG TCC CCC GGT AGA TTC GAG AGA ATC 454PDP 496GS Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly 499PDP TTA CTC AGA GCT GCA AAT ACC CAC AGT TCC GCC AAA CCT TGC GGG 541GS Gln Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly 544PDP CAA CAA TCC ATT CAC TTG GGA GGA GTA TTT GAA TTG CAA CCA GGT 586GS Ala Ser Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His 589PDP GCT TCG GTG TTT GTC AAT GTG ACT GAT CCA AGC CAA GTG AGC CAT 631GS Gly Thr Gly Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu \*\*\* \*\*\* 634GS GGC ACT GGC TTC ACG TCC TTT GGC TTA CTC AAA CTC GAG TGA TAA 676GS XbaI Ser Arg 679PDP 721GS TCT AGA



Figure 3A.
Sequence and translation of two cDNAs encoding HIV gp120-CD154 LONG form extracellular domain fusion proteins.

	Hino	IIIE							٠						
	~~~	~~~			Sign	nal E	epti	de							
					Met	Leu	Tyr	Thr	Ser	Gln	Leu	Leu	Gly	Leu	Leu
1	AAG	CTT	GCC	GCC						CAG					
-								Bgl1							
								-	.~~~	_	HTV	op 12	20 do	mair	1
		D	m		C	n1_	C			Met					
	Leu	Pne	Trp	116	ser	Ala	Ser	Arg	261	Mec	Den	DEG	GTA	Y T C	ው ተ
46	CTG	TTT	TGG	ATC	TCG	GCT	TCG	AGA	TCT	ATG	CIC	CII		MIM	T1G
	Met	Ile	Cys	Ser	Ala	Thr	Glu	Lys	Leu	Trp	val	Inr	vaı	Tyr	Tyr
. 91	ATG	ATC	TGT	AGT	GCT	ACA	GAA	AAA	TTG	TGG	GTC	ACA	GTC	TAT	TAT
	Gly	Val	Pro	Val	Trp	Arg	Glu	Ala	Thr	Thr	Thr	Leu	Phe	Cys	Ala
~136	GGG	GTA	CCT	GTG	TGG	AGA	GAA	GCA	ACC	ACC	ACT	CTA	TTT	TGT	GCA
	Ser	Asp	Ala	Lys	Ala	Tyr	Asp	Thr	Glu	Val	His	Asn	Val	Trp	Ala
181	TCA	GAT	GCT	AAA	GCC	TAT	GAT	ACA	GAG	GTA	CAT	TAA	GTT	TGG	GCC
101	Thr	His	Ala	Cvs	Val	Pro	Thr	Asp	Pro	Asn	Pro	Gln	Glu	Val	Val
226	707	CAT	CCC	TCT	GTA	CCC	ACA	GAC	CCC	AAC	CCA	CAA	GAA	GTA	GTA
220	Ton	CAI	Mcn.	Val	Thr	Glu	Δen	Dhe	Asn	Met	Tro	Lvs	Asn	Asn	Met
	reu	GIA	V D W	Val	7111	CAA	חמת	THE	אאר	ATG	TCC	ממע	ידממ	ממ	ATG
271	TTG	GGA	AAI	616	ACA	CAA	WWI	111	TIO	VIQ.	100	U.Z.Z.	Ver	Glu	Ser
	Val	Asp	Gin	met	HIS	GIU	ASP	116	116	Ser	Den	ncc 11b	V2D	CVV	DCC.
316	GTA	GAT	CAG	ATG	CAT	GAG	GAT	ATA	ATC	AGT	TTA	166	GAI	GAA	AGC
	Leu	Lys	Pro	Cys	Val	Lys	Leu	Thr	Pro	Leu	Cys	val	Thr	Leu	ASN
361	CTA	AAG	CCA	TGT	GTA	AAA	TTA	ACC	CCA	CTC	TGT	GTT	ACT	TTA	AAT
	Cys	Thr	Asn	Leu	Asn	Ile	Thr	Lys	Asn	Thr	Thr	Asn	Pro	Thr	Ser
406	TGC	ACT	AAT	TTG	TAA	ATC	ACT	AAG	AAT	ACT	ACT	AAT	CCC	ACT	AGT
	Ser	Ser	Trp	Glv	Met	Met	Glu	Lys	Gly	Glu	Ile	Lys	Asn	Cys	Ser
451	AGC	AGC	TGG	GGĀ	ATG	ATG	GAG	AAA	GGA	GAA	ATA	AAA	AAT	TGC	TCT
	Phe	Tyr	Tle	Thr	Thr	Ser	Ile	Ara	Asn	Lys	Val	Lvs	Lys	Glu	Tyr
496	TTC	ጥልጥ	ATC	እርር	ACA	AGC	ATA	AGA	AAT	AĀG	GTA	AÁG	AAA	GAA	TAT
4 90	חות	LOU	Dho	. Acc	Ara	Len	Asn	Val	Val	Pro	Tle	Glu	Asn	Thr	Asn
	WIG	Ten	E 11G	ווכת	VC A	CTT	CAT	CTA	CTA	CCA	ΔΤΔ	CAA	דעע	ACT	TAA
541	GCA	CIT	111	MAI	AGA	CII	TIA	GIN	Cuc	Asn	Thr	Sor	Val	Tle	Thr
	Asn	Thr	Lys	Tyr	Arg	rea	116	Ser	Cys	VOII	1111	DCI	CTC	እጥጥ	ארא
586	AAT	ACT	AAG	TAT	AGG	TTA	ATA	AGT	161	AAC	ACC	TCA	71-	MII	M
	Gln	Ala	Cys	Pro	Lys	Val	Ser	Phe	GIn	Pro	11e	Pro	116	HIS	1 3 1
631	CAG	GCC	TGT	CCA	AAG	GTA	TCC	TTT	CAG	CÇA	ATT	CCC	ATA	CAT	TAT
	Cys	Val	Pro	Ala	Gly	Phe	Ala	Met	Leu	Lys	Cys	Asn	Asn	Lys	Thr
67.6	TGT	GTC	CCG	GCT	GGG	TTT	GCG	ATG	CTA	AAG	TGT	AAC	TAA	AAG	ACA
•	Phe	Asn.	Gly	Ser	Gly	Pro	Cys	Thr	Asn	Val	Ser	Thr	Val	Gln	Cys
721	TTC	TAA	GGA	TCA	GGA	CCA	TGC	ACA	AAT	GTC	AGC	ACA	GTA	CAA	TGT
,	Thr	His	Glv	Île	Ara	Pro	Val	Val	Ser	Thr	Gln	Lėu	Leu	Leu	Asn
766	מרמ	CAT	GGA	ATT	AGG	CCA	GTG	GTG	TCA	ACT	CAA	CTG	CTG	TTA	AAT
700	Class	Sar	Len	λla	Glu	Glu	Asp	Tle	Val	Ile	Ara	Ser	Glu	Asn	Phe
011	CCC	2CT	TLE OF	CCV	-CAA	CAA	CAC	ΔΤΔ	GTA	ATT	AGA	TCT	GAA	AAT	TTC
811	GGC	AGI	CIA	OCA	T	Wh-	Tlo	TIO	Val	Gin	T.o.i.	Asn	Glu	Ser	Val
	Thr	Asp	Asn	ATa	Lys	TITE	TIE	νων TTE	CTA	CVC	CTA	ממת	CAA	TOT	GTA
856	ACA	GAC	AAT	GCT	AAA	ACC	AIA	AIA	GIM	CAG	CIA	, AAI	7	7~~	GTA
•	Val	Ile	Asn	Cys	Thr	Arg	Pro	Asn	Asn	Asn	Thr	Arg	Arg	Arg	Leu
901	GTA	TTA	ŢΑĄ	TGT	ACA	AGA	CCC	AAC	AAC	AAT	. ACA	AGA	AGA	AGG	TTA
	Ser	Ile	Gly	Pro	Gly	Arg	Ala	Phe	Tyr	Ala	Arg	. Arg	Asn	TIE	Ile
946	TCT	ATA	GGA	CCA	ĢGG	AGA	GCA	TTT	TĄT	GCA	AGA	AGA	AAC	ATA	ATA
	GIV	Asp	Tle	Ara	Gln	Ala	His	Čvs	Asn	Ile	Ser	Arg	Ala	Lys	Trp
991	GGA	GAT	ATA	AGA	CAA	GCA	CAT	TGT	AAC	ATT	AGT	AGA	GCA	AAA	TGG
221	Aen	Δsn	Thr	Len	Gln	Gln	Ile	Val	Ile	Lvs	Leu	Arq	Glu	Lys	Phe
1026	חלע ע	אאר	ስ/T	מחת	CAA	CAG	ΔΤΔ	CTT	ÁТА	AAA	TTA	AGA	GAA	AAA	TTT
1036	MMI	אאנ	ACI	11W	CUL	- ומ	Dho	200	Gin	Ser	Ser	Glv	Giv	Asn	Pro
	Arg	ASD	rλz	Inr	116	wrg	Liig	MSII	עער) וודה	DCT.	ルヘン		CCC	C D C	CC2
1081	AGG	AAT	AAA	ACA	ATA	٥٠٠	TTT	AAT	CAA		CIL	CI	- שמט	Dha	CCA
	Glu	Ile	Val	Met	His	Ser	Phe	Asn	cys	eTÀ	et A	OT II	rne	LIIG	Tyr
1126	GAA	TTA	GTA	ATG	CAC	AGT	TTT	AAT	TGT	GGA	GGG	GAA	TTC	TTC	TAC
	Cys	Asn	Thr	Ala	Gln	Leu	Phe	Asn	Ser	Thr	Trp	Asn	Val	Thr	Gly
1171	TGT	AAT	ACA	GCA	CAA	CTG	TTT	AAT	AGT	ACT	TĠG	. AAT	GTT	ACT	GGA
_	Glv	Thr	Asn	Glv	Thr	Glu	Gly	Asn	Asp	Ile	Ile	Thr	Leu	Gln	Cys
	1			-			•		-						



#### Figure 3A (continued).

#### Sequence and translation of two cDNAs encoding HIV gp120-CD154 LONG form extracellular domain fusion proteins.

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      AGA ATA AAA CAA ATT ATA AAT ATG TGG CAG AAA GTA GGA AAA GCA
1261
      Met Tyr Ala Pro Pro Ile Thr Gly Gln Ile Arg Cys Ser Ser Asn
      ATG TAT GCC CCT CCC ATC ACA GGA CAA ATT AGA TGT TCA TCA AAT
1306
      Ile Thr Gly Leu Leu Thr Arg Asp Gly Gly Asn Ser Thr Glu
      ATT ACA GGG CTG CTA CTA ACA AGA GAT GGA GGT AAT AGT ACT GAG
1351
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      ACT GAG ACT GAG ATC TTC AGA CCT GGA GGA GAT ATG AGG GAC
1396
      Asn Trp Arg Ser Glu Leu Tyr Lys Tyr Lys Val Val Arg Ile Glu
      1441
      Pro Ile Gly Val Ala Pro Thr Arg Ala Lys Arg Arg Thr Val Gln
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1486
      Arg Glu Lys Arg
      AGA GAA AAA AGA
1531
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#### (Gly<sub>4</sub>Ser)<sub>3</sub> linker

BamHI

Gly Gly Gly Gly Ser Gly Gly Gly Ser Gly Gly Gly Gly Ser Asp Pro GGG GGA GGC GGT TCA GGA GGT GGA GGT TCT GGA GGT GGC GGA TCG GAT CCA 1543

OR ProAspPro linker

BamHI

1543 Pro Asp Pro CCG GAT CCA

2164PDP

#### CD154 LONG FORM Extracellular Domain

Arg Arg Leu Asp Lys Ile Glu Asp Glu 1594GS AGA AGG TTG GAC AAG ATA GAA GAT GAA 1552PDP Arg Asn Leu His Glu Asp Phe Val Phe Met Lys Thr Ile Gln Arg 1621GS AGG AAT CTT CAT GAA GAT TTT GTA TTC ATG AAA ACG ATA CAG AGA 1579PDP Cys Asn Thr Gly Glu Arg Ser Leu Ser Leu Leu Asn Cys Glu Glu 1666GS TGC AAC ACA GGA GAA AGA TCC TTA TCC TTA CTG AAC TGT GAG GAG 1'624PDF Ile Lys Ser Gln Phe Glu Gly Phe Val Lys Asp Ile Met Leu Asn 1711GS ATT AAA AGC CAG TTT GAA GGC TTT GTG AAG GAT ATA ATG TTA AAC 1669PDP Lys Glu Glu Thr Lys Lys Glu Asn Ser Phe Glu Met Gln Lys Gly 1756GS AAA GAG GAG ACG AAG AAA GAA AAC AGC TTT GAA ATG CAA AAA GGT 1714PDP Asp Gln Asn Pro Gln Ile Ala Ala His Val Ile Ser Glu Ala Ser 1801GS GAT CAG AAT CCT CAA ATT GCG GCA CAT GTC ATA AGT GAG GCC AGC 1759PDP Ser Lys Thr Thr Ser Val Leu Gln Trp Ala Glu Lys Gly Tyr Tyr 1846GS AGT AAA ACA ACA TCT GTG TTA CAG TGG GCT GAA AAA GGA TAC TAC 1804PDP Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly Lys Gln Leu 1891GS ACC ATG AGC AAC AAC TTG GTA ACC CTG GAA AAT GGG AAA CAG CTG 1849PDP Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln Val Thr 1936GS ACC GTT AÃA AGA CAA GGA CTC TAT TAT ATC TAT GCC CAA GTC ACC 1894PDP -Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe Ile Ala 1981GS TTC TGT TCC AAT CGG GAA GCT TCG AGT CAA GCT CCA TTT ATA GCC 1939PDP Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile Leu Leu 2026GS AGC CTC TGC CTA AAG TCC CCC GGT AGA TTC GAG AGA ATC TTA CTC 1984PDP Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln Gln 2071GS AGA GCT GCA AAT ACC CAC AGT TCC GCC AAA CCT TGC GGG CAA CAA 2029PDP Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala Ser 2116GS TCC ATT CAC TTG GGA GGA GTA TTT GAA TTG CAA CCA GGT GCT TCG 2074PDP Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His Gly Thr 2161GS GTG TTT GTC AAT GTG ACT GAT CCA AGC CAA GTG AGC CAT GGC ACT 2119PDP Gly Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu \*\*\* \*\*\* Ser Arg 2206GS GGC TTC ACG TCC TTT GGC TTA CTC AAA CTC GAG TGA TAA TCT AGA



6/7
Figure 3B.
Sequence and translation of two cDNAs encoding HIV gp120-CD154 short form extracellular domain fusion proteins.

	Hino	HIII				*									
	~~~	.~~~			Sign	nal B	Pepti	de							• •
								Thr	Ser	Gln	Leu	Leu	Gly	Leu	Leu
1	DAA	СТТ	GCC	GCC	ATG	CTG	TAT	ACC	TCT	CAG	CTG	TTA	GGĀ	CTA	CTT
•			•••	•••				BglI							
											HIV	gp12	20 do	mair	2
	Len	Phe	Tro	Tle	Ser	Ala	Ser	Arg							
46	CTG	TTT	TGG	ATC	TCG	GCT	TCG	AGA	TCT	ATG	CTC	CTT	GGG	ATA	TTG
40	Met	Tle	Cvs	Ser	Δla	Thr	Glu	Lys	Leu	Tro	Val	Thr	Val	Tvr	Tvr.
91	አጥር	አጥር	TOT	ACT	CCT	מים	CAA	AAA	TTG	TGG	GTC	ACA	GTC	TAT	TAT
91	Clu	No.1	Dro	Val	Trn	Ara	Glu	Ala	Thr	Thr	Thr	Leu	Phe	Cvs	Ala
126	GIA	CUN	CCT	CAC	TCC	VC V	CAA	GCA	ACC	ACC	ልርጥ	CTA	ттт	TGT	GCA
136	Coo	GIM	772	1	מפו	TU.~	) CD	Thr	Glu	Val	Hie	Den	Val	Tro	Ala
201	Ser	ASP	WIG	r y y y	WIG	TAT	CV.L.	ACA	CAG	CTA	CAT	חממ	CTT	TGG	GCC
181	TCA	GAI	GCI	C	V-1	INI	Th.~	Asp	Dro	Aen	Dro	Gla	Glu	Va·1	Val
000	Thr	HIS	WIG	Cys	Val	CCC	JUN	GAC	CCC	שאר	622	CVV	CAA	CTA	GTA
226	ACA	CAT	3	161	GIA	C3	ACA	Phe	700	Mot	CCV	Luc	yen our	yez Oly	Mot
	Leu	GTÀ	ASD	val	THE	GIU	ASII	TTT	WOII	אתר	TIP	עעע	עעעע	אאר	ATC
271	TTG	GGA	AAT	GTG	ACA	GAA	AAI	111	AAC	WIG	100	TATA	VOI	Clu	Ser
	val	Asp	GIN	met	nis	GIU	ASP	Ile	TIE	261	דבת	TIP	CVT	CVV	yec
316	GTA	GAT	CAG	ATG	CAT	GAG	GAT	ATA	AIC	AGI	CVA	1700	Th~	LON	AGC Aen
	Leu	Lys	Pro	Cys	vai	rys	Leu	Thr ACC	LIO.	TEU	TCT	Cut.	NCT.	שבע	ווכת
361	CTA	AAG	CCA	TGT	GIA	AAA	TIM	Lys	ZCA	Wh-	TGI	911	Pro	Tin	Ser
	Cys	TOF	ASD	ren	ASI	TIE	TIIT	AAG	אאת	J CT	1111 1111	עעע ווכע	CCC	ልርጥ	AGT
406	TGC	ACT	AAT	21.0	MAI	MIC	AC1	Lys	VV1	UC1"	TIA	Tue	Acn	Cve	Ser
	Ser	ser	Trp	GIY	Mer	MEL	GIU	AAA	GTA	CYY	716	עעע בגם	יונכת	TEC	TCT
451	AGC	AGC	166	GGA	AIG	AIG	TIO	Arg	A CD	tue	Naj DID	Ive	Lve	Glu	Tur
	Phe	Tyr	116	Thr	THE	261	TIE	AGA	עעע	рус	CTA	PAS	מממ	CDD	ፐልጥ
496	TTC	TAT	AIC	ACC	ACA.	Tou	VIV	Val	ובע	Pro	Tle	Glin	Asn	Thr	Asn
C 43	ATA	Ten	THE THE	W211	MIG	CAL	CDT	GTA	. CTD	477	ATA	GAA	AAT	ACT	AAT
541	BCA	Thr.	111	Turi Turi	Aca	Len	Tle	Ser	Cvs	Asn	Thr	Ser	Val	Ile	Thr
E 0 <i>C</i>	W211	JIII.	nyc	ጉያተ	ACC	מידים	מדמ	AGT	TGT	AAC	ACC	TCA	GTC	ATT	ACA
586	WWI	MCI	Cuc	D~ò	Tue	177	Ser	Phe	Gln	Pro	Tle	Pro	Tle	His	Tvr
C21	GTII	VIA	C A 2	CCV	DAG	CT2	TCC	TTT	CAG	CCA	TTA	CCC	ATA	CAT	TAT
631	CMG	Un 1	Dro	Als	Glv	Phe	Δla	Met	Leu	Lvs	Cvs	Asn	Asn	Lvs	Thr
676	TOT	CALC	CCC	CCT	ccc	ጥጥጥ	CCC	ATG	CTA	AAG	TGT	AAC	AAT	AAG	ACA
0/0	Dho	910	614	Sor	Glv	Pro	CVS	Thr	Asn	Val	Ser	Thr	Val	Gln	Cvs
721	THE	מעע ע	CCV	TOD	CCA	CCA	TGC	ACA	TAA	GTC	AGC	ACA	GTA	CAA	TGT
121	TIC	Die	Clú	Tla	Ara	Pro	Val	Val	Ser	Thr	Gln	Leu	Leu	Leu	Asn
766	707	Cyt	CCA	Δ ጥጥ	AGG	CCA	GTG	GTG	TCA	ACT	CAA	CTG	CTG	TTA	TAA
700	Glu	Ser	Len	Ala	Glu	Glu	Asp	Ile	Val	Ile	Ara	Ser	Glu	Asn	Phe
811	GÉC	AGT	CTA	GCA	GAA	GAA	GAC	ATA	GTA	ATT	AGA	TCT	GAA	AAT	TTC
011	Thr	Ash	Asn	Ala	Lvs	Thr	Ile	Ile	Val	Gln	Leu	Asn	Glu	Ser	Val
856	77	CAC	AAT	GCT	AAA	ACC	ATA	ATA	GTA	CAG	CTA	AÁT	GAA	ŢĊŦ	GTA
0.50	Val	Tle	Asn	Cvs	Thr	Ara	Pro	Asn	Asn	Asn	Thr	Arq	Arg	Arg	Leu
901	CTD	חדת	TAA	ጥርጥ	ACA	AGA	CCC	AAC	AAC	AAT	ACA	AGA	AGA	AGG	TTA
	Ser	Tle	Glv	Pro	Glv	Ara	Ala	Phe	Tyr	Ala	Arg	Arg	Asn	Ile	Ile
946	TOT	ATA	GGA	CCA	GGG	AGA	GCA	TTT	TAT	GCA	AGA	AGA	AAC	ATA	ATA
,530	Glv	Asp	Tle	Ara	Gln	Ala	His	Cvs	Asn	Ile	Ser	Arg	Ala	Lys	Trp
991	ÇC.	CAT	ATA	AGA	CAA	GCA	CAT	TGT	AAC	ATT	AGT	AGA	GCA	AĀA	TGG
<i>JJ</i> 1	Nen Oon	Asn	Thr	Leu	Gln	Gln	Ile	Val	Ile	Lys	Leu	Arg	Glu	Lys	Phe
1036	דעע	AAC	ACT	TTA	CAA	CAG	ATA	GTT	ATA	AAA	TTA	AGA	GAA	AAA	TTT
1030	Ara	Asn	Lvs	Thr	Tle	Ala	Phe	Asn	Gln	Ser	Ser	Gly	Gly	Asp	Pro
1081	ÁGG	AAT	AAA	ACA	ATA	GCC	TTT	AAT	CAA	TCC	TCA	GGA	GGG	GAC	CCA
1001	Glu	Tle	Val	Met	His	Ser	Phe	Asn	Cys	Gly	Gly	Glu	Phe	Phe	Tyr
1126	CDD	ATT T	GTA	ATG	CAC	AGT	TTT	AAT	TGT	GGA	GGG	GAA	TTC	TTC	TAC
1120	Cvs	Asn	Thr	Ala	Gln	Leu	Phe	Asn	Ser	Thr	Trp	Asn	Val	Thr	Gly
1171	TCT.	דעע	ACA	GCA	CAA	CTG	TTT	AAT	AGT	ACT	TGG	AAT	GTT	ACT	GGA



#### Figure 3B (Continued).

Sequence and translation of two cDNAs encoding HIV gp120-CD154 short form extracellular domain fusion proteins.

```
Gly Thr Asn Gly Thr Glu Gly Asn Asp Ile Ile Thr Leu Gln Cys
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1216
      Arg Ile Lys Gln Ile Ile Asn Met Trp Gln Lys Val Gly Lys Ala
      AGA ATA AAA CAA ATT ATA AAT ATG TGG CAG AAA GTA GGA AAA GCA
1261
      Met Tyr Ala Pro Pro Ile Thr Gly Gln Ile Arg Cys Ser Ser Asn
      ATG TAT GCC CCT CCC ATC ACA GGA CAA ATT AGA TGT TCA TCA AAT
1306
      Ile Thr Gly Leu Leu Thr Arg Asp Gly Gly Asn Ser Thr Glu
      ATT ACA GGG CTG CTA CTA ACA AGA GAT GGA GGT AAT AGT ACT GAG
1351
                   BallI
      Thr Glu Thr Glu Ile Phe Arg Pro Gly Gly Gly Asp Met Arg Asp
      ACT GAG ACT GAG ATC TTC AGA CCT GGA GGA GGA GAT ATG AGG GAC
      Asn Trp Arg Ser Glu Leu Tyr Lys Tyr Lys Val Val Arg Ile Glu
      1441
      Pro Ile Gly Val Ala Pro Thr Arg Ala Lys Arg Arg Thr Val Gln
      CCA ATA GGA GTA GCA CCC ACC AGG GCA AAG AGA AGA ACA GTG CAA
1486
      Arg Glu Lys Arg
      AGA GAA AAA AGA
1531
```

(Gly<sub>4</sub>Ser), linker

BamHI

Gly Gly Gly Gly Ser Gly Gly Gly Ser Gly Gly Gly Ser Asp Pro GGG GGA GGC GGT TCA GGA GGT GGA GGT TCT GGA GGT GGC GGA TCG GAT CCA OR ProAspPro linker

BamHI

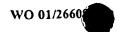
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#### CD154 SHORT FORM Extracellular Domain

Glu Asn Ser Phe Glu Met Gln Lys 1594GS GAA AAC AGC TTT GAA ATG CAA AAA 1552PDP Gly Asp Gln Asn Pro Gln Ile Ala Ala His Val Ile Ser Glu Ala 1618GS GGT GAT CAG AAT CCT CAA ATT GCG GCA CAT GTC ATA AGT GAG GCC 1576PDP Ser Ser Lys Thr Thr Ser Val Leu Gln Trp Ala Glu Lys Gly Tyr 1663GS AGC AGT AAA ACA ACA TCT GTG TTA CAG TGG GCT GAA AAA GGA TAC 1621PDP Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly Lys Gln 1708GS TAC ACC ATG AGC AAC AAC TTG GTA ACC CTG GAA AAT GGG AAA CAG 1666PDP Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln Val 1753GS CTG ACC GTT AAA AGA CAA GGA CTC TAT TAT ATC TAT GCC CAA GTC 1711PDP Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe Ile 1798GS ACC TTC TGT TCC AAT CGG GAA GCT TCG AGT CAA GCT CCA TTT ATA 1756PDP Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile Leu 1843GS GCC AGC CTC TGC CTA AAG TCC CCC GGT AGA TTC GAG AGA ATC TTA 1801PDP Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln 1888GS CTC AGA GCT GCA AAT ACC CAC AGT TCC GCC AAA CCT TGC GGG CAA 1846PDP Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala 1933GS CAA TCC ATT CAC TTG GGA GGA GTA TTT GAA TTG CAA CCA GGT GCT 1891 PDP Ser Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His Gly 1978GS TCG GTG TTT GTC AAT GTG ACT GAT CCA AGC CAA GTG AGC CAT GGC 1936PDP XbaI

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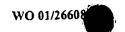


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لل لم لم لم تما	+-++ +				90
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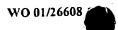


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short form from amino acids 108-261+Glu
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binds CD40

short form (amino acids 108-261)+Glu

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2137

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binds CD40

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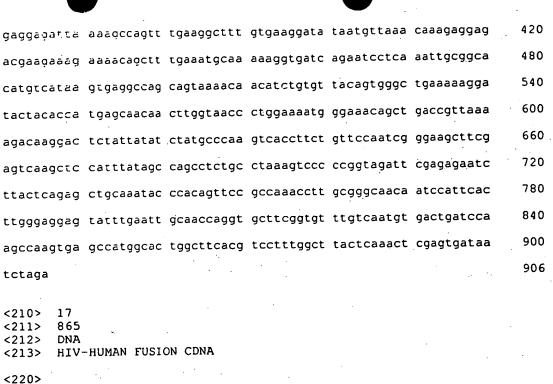
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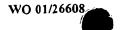
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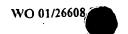


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binds CD40

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<223> synthetic secretory signal peptide
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- <223> HIV gpl20 domain with (gly4ser)3 linker
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- <221> BINDING
- <222> (529)..(742)
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- Ala Thr Thr Thr Leu Phe Cys Ala Ser Asp Ala Lys Ala Tyr Asp Thr 50 55 60
- Glu Val His Asn Val Trp Ala Thr His Ala Cys Val Pro Thr Asp Pro 65 70 75 80
- Asn Pro Gln Glu Val Val Leu Gly Asn Val Thr Glu Asn Phe Asn Met 85 90 95
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- Thr Lys Tyr Arg Leu Ile Ser Cys Asn Thr Ser Val Ile Thr Gln Ala 195 200 205
- Cys Pro Lys Val Ser Phe Gln Pro Ile Pro Ile His Tyr Cys Val Pro 210 215 220
- Ala Gly Phe Ala Met Leu Lys Cys Asn Asn Lys Thr Phe Asn Gly Ser 225 230 235
- Gly Pro Cys Thr Asn Val Ser Thr Val Gln Cys Thr His Gly Ile Arg 245 250 255
- Pro Val Val Ser Thr Gln Leu Leu Leu Asn Gly Ser Leu Ala Glu Glu 260 265 270



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- Ala Arg Arg Asn Ile Ile Gly Asp Ile Arg Gln Ala His Cys Asn Ile 325 330 335
- Ser Arg Ala Lys Trp Asn Asn Thr Leu Gln Gln Ile Val Ile Lys Leu 340 345 350
- Arg Glu Lys Phe Arg Asn Lys Thr Ile Ala Phe Asn Gln Ser Ser Gly 355 360 365
- Gly Asp Pro Glu Ile Val Met His Ser Phe Asn Cys Gly Glu Phe 370 375
- Phe Tyr Cys Asn Thr Ala Gln Leu Phe Asn Ser Thr Trp Asn Val Thr 385 390 395
- Gly Gly Thr Asn Gly Thr Glu Gly Asn Asp Ile Ile Thr Leu Gln Cys 405 410 415
- Arg Ile Lys Gln Ile Ile Asn Met Trp Gln Lys Val Gly Lys Ala Met 420 425 430
- Tyr Ala Pro Pro Ile Thr Gly Gln Ile Arg Cys Ser Ser Asn Ile Thr 435 440 445
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Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln Gln Ser Ile His 690 695 700

Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala Ser Val Phe Val Asn 705 710 715 720

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<212> PRT

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Ala Thr Thr Thr Leu Phe Cys Ala Ser Asp Ala Lys Ala Tyr Asp Thr 50 55 60

Glu Val His Asn Val Trp Ala Thr His Ala Cys Val Pro Thr Asp Pro

. •

65

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Trp Lys Asn Asn Met Val Asp Gln Met His Glu Asp Ile Ile Ser Leu 100 105 110

Trp Asp Glu Ser Leu Lys Pro Cys Val Lys Leu Thr Pro Leu Cys Val 115 120 125

Thr Leu Asn Cys Thr Asn Leu Asn Ile Thr Lys Asn Thr Thr Asn Pro

Thr Ser Ser Ser Trp Gly Met Met Glu Lys Gly Glu Ile Lys Asn Cys 145 150 155 160

Ser Phe Tyr Ile Thr Thr Ser Ile Arg Asn Lys Val Lys Lys Glu Tyr 165 170 175

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Thr Lys Tyr Arg Leu Ile Ser Cys Asn Thr Ser Val Ile Thr Gln Ala 195 200 205

Cys Pro Lys Val Ser Phe Gln Pro Ile Pro Ile His Tyr Cys Val Pro 210 215 220

Ala Gly Phe Ala Met Leu Lys Cys Asn Asn Lys Thr Phe Asn Gly Ser 225 230 235

Gly Pro Cys Thr Asn Val Ser Thr Val Gln Cys Thr His Gly Ile Arg 245 250 255

Pro Val Val Ser Thr Gln Leu Leu Leu Asn Gly Ser Leu Ala Glu Glu 260 265 270

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Ile Val Gln Leu Asn Glu Ser Val Val Ile Asn Cys Thr Arg Pro Asn 290 295 300

Asn Asn Thr Arg Arg Arg Leu Ser Ile Gly Pro Gly Arg Ala Phe Tyr 305 310 315 320

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Ser Arg Ala Lys Trp Asn Asn Thr Leu Gln Gln Ile Val Ile Lys Leu 340 345 350

Arg Glu Lys Phe Arg Asn Lys Thr Ile Ala Phe Asn Gln Ser Ser Gly

Gly Asp Pro Glu Ile Val Met His Ser Phe Asn Cys Gly Gly Glu Phe 370 375 380

Phe Tyr Cys Asn Thr Ala Gln Leu Phe Asn Ser Thr Trp Asn Val Thr 385 390 395

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405 410 415

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Ala Thr Thr Leu Phe Cys Ala Ser Asp Ala Lys Ala Tyr Asp Thr
Glu Val His Asn Val Trp Ala Thr His Ala Cys Val Pro Thr Asp Pro
Asn Pro Gln Glu Val Val Leu Gly Asn Val Thr Glu Asn Phe Asn Met
Trp Lys Asn Asn Met Val Asp Gln Met His Glu Asp Ile Ile Ser Leu
                               105.
Trp Asp Glu Ser Leu Lys Pro Cys Val Lys Leu Thr Pro Leu Cys Val
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Thr Ser Ser Ser Trp Gly Met Met Glu Lys Gly Glu Ile Lys Asn Cys
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Ala Leu Phe Asn Arg Leu Asp Val Val Pro Ile Glu Asn Thr Asn Asn
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Cys Pro Lys Val Ser Phe Gln Pro Ile Pro Ile His Tyr Cys Val Pro
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215

Ala Gly Phe Ala Met Leu Lys Cys Asn Asn Lys Thr Phe Asn Gly Ser

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225		- 6			230					235				•	240
Gly	Pro	Суз	Thr	Asn 245	Val	Ser	Thr	Val	Gln 250	Cys	Thr	His	Gly	11e 255	Arg
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Asp	Ile	\'äl 275	Ile	Arg	Ser	Glu	Asn 280	Phe	Thr	Asp	Asn	Ala 285	Lys	Thr	Ile
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Ala	Arg	Arg	Asn	Ile 325	Ile	Gly	Asp	Ile	Arg 330	Gln	Ala	His	Cys	Asn 335	Ile
Ser		Ala	Lys 340	Trp	Asn	Asn	Thr	Leu 345	Gln	Gln	Ile	Val	11e 350	Lys	Leu
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Gly	Asp 370	Pro	Glu	Ile	Val	Met 375	His	Ser	Phe	Asn	Cys 380	Gly	Gly	Glu	Phe
Phe 385	Tyr	Cys	Asn	Thr	Ala 390	Gln	Leu	Phe	Asn	Ser 395	Thr	Trp	Asn	Val	Thr 400
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Glu 465		Phe	Arg	Pro	Gly 470	Gly	Gly	Asp	Met	Arg 475	Asp	Asn	Trp	Arg	Ser 480
Glu	Leu	Tyr	Lys	Tyr 485	Lys	Val	Val	Arg	11e 490	Glu	Pro	Ile	Gly	Val 495	Ala
Pro	Thr	Arg	Ala 500		Arg	Arg	Thr	Val 505	Gln	Arg	Glu	Lys	Arg 510	Gly	Gly
Gly	Gly	Ser 515	Gly	Gly	Gly	Gly	Ser 520	Gly	Gly	, Gly	Gly	Ser 525	Asp	Pro	Glu
Asn	Ser 530		Glu	Met	Gln	Lys 535	Gly	Asp	Glr	a Asn	9ro 540	Glr	ılle	Ala	Ala
His	Val	Ile	Ser	Glu	Ala	Ser	Ser	Lys	Thi	Thr	Ser	Val	L Leu	Glr	Trp 560

Ala Glu Lys Gly Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu 565 570 575

550

55**5** 



Asn Gly Lys Gln Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr 580 585 590

Ala Gln Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro 595 600 605

Phe Ile Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile
610 620

Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln 625 630 635

Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala Ser 645 650 655

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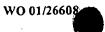
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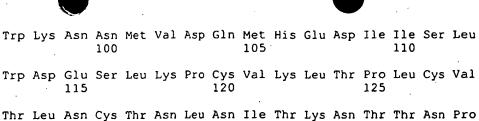
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Asn Pro Gln Glu Val Val Leu Gly Asn Val Thr Glu Asn Phe Asn Met 85 90 95





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Ser Phe Tyr Ile Thr Thr Ser Ile Arg Asn Lys Val Lys Lys Glu Tyr

Ala Leu Phe Asn Arg Leu Asp Val Val Pro Ile Glu Asn Thr Asn Asn 180 185 190

Thr Lys Tyr Arg Leu Ile Ser Cys Asn Thr Ser Val Ile Thr Gln Ala 195 200 205

Cys Pro Lys Val Ser Phe Gln Pro Ile Pro Ile His Tyr Cys Val Pro 210 215 220

Ala Gly Phe Ala Met Leu Lys Cys Asn Asn Lys Thr Phe Asn Gly Ser 225 230 235 240

Gly Pro Cys Thr Asn Val Ser Thr Val Gln Cys Thr His Gly Ile Arg 245 250 255

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Asp Ile Val Ile Arg Ser Glu Asn Phe Thr Asp Asn Ala Lys Thr Ile 275 280 285

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Asn Asn Thr Arg Arg Leu Ser Ile Gly Pro Gly Arg Ala Phe Tyr 305 310 315 320

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Gly Asp Pro Glu Ile Val Met His Ser Phe Asn Cys Gly Gly Glu Phe 370 375 380

Phe Tyr Cys Asn Thr Ala Gln Leu Phe Asn Ser Thr Trp Asn Val Thr 385 390 395 400

Gly Gly Thr Asn Gly Thr Glu Gly Asn Asp Ile Ile Thr Leu Gln Cys 405 410 415

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Tyr Ala Pro Pro Ile Thr Gly Gln Ile Arg Cys Ser Ser Asn Ile Thr



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Glu 465	He	Phe	Aro	Pro	Gly 476	Gly	Gly	Asp	Met	Arg 475	Asp	Asn	Trp	Arg	Ser 480
Glu	Leu	Tyr	Lys	Tyr 485	Lys	Val	Val	Arg	11e 490	Glu	Pro	Ile	Gly	Val 495	Ala
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Pro	Glu	Asn 515	Ser	Phe	Glu	Met	Gln 520	Lys	Gly	Asp	Gln	Asn 525	Pro	Gln	Ile
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<22	20> 21> 22> 23>	DOM/ (21)	. /-	77) 20 V3	3 100	op p	lus	(gly	4ser	)3 1	inke	r			
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long form from amino acids 48 (Arg) to 261 (Leu)+Glu binds CD40  $\,$ 

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Ala Ser Arg Ser Val Val Ile Asn Cys Thr Arg Pro Asn Asn Asn Thr 20 25 30

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Asn Ile Ile Gly Asp Ile Arg Gln Ala His Cys Asn Ile Ser Gly Gly 50 55 60

Gly Gly Ser Gly Gly Gly Ser Gly Gly Gly Ser Asp Pro Arg 6t 70 75 80

Arg Leu Asp Lys Ile Glu Asp Glu Arg Asn Leu His Glu Asp Phe Val 85 90 95

Phe Met Lys Thr Ile Gln Arg Cys Asn Thr Gly Glu Arg Ser Leu Ser 100 105 110

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Asp Ile Met Leu Asn Lys Glu Glu Thr Lys Lys Glu Asn Ser Phe Glu 130 135 140

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Tyr Tyr Thr Met Ser Asn Asn Leu Val Thr Leu Glu Asn Gly Lys Gln 180 185 190

Leu Thr Val Lys Arg Gln Gly Leu Tyr Tyr Ile Tyr Ala Gln Val Thr 195 200 205

Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe Ile Ala Ser 210 225 220

Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile Leu Leu Arg Ala 225 230 235 240

Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln Gln Ser Ile His 245 250 255

Leu Gly Gly Val Phe Glu Leu Gln Pro Gly Ala Ser Val Phe Val Asn 260 265 270

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Page 24

Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln Ala Pro Phe Ile

Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu Arg Ile Leu Leu

220

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215

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Gly Gly Ser Gly Gly Gly Ser Gly Gly Gly Ser Asp Pro Glu 65 70 75 80

Asn Ser Phe Glu Met Gln Lys Gly Asp Gln Asn Pro Gln Ile Ala Ala 85 90 95

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Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys Gly Gln
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Asn Ile Ile Gly Asp Ile Arg Gln Ala His Cys Asn Ile Ser Pro Asp
Pro Glu Asn Ser Phe Glu Met Gln Lys Gly Asp Gln Asn Pro Gln Ile
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90

Ala Ala His Val Ile Ser Glu Ala Ser Ser Lys Thr Thr Ser Val Leu



Gln	Trp	Ala	Glu	Lys	Gly	Tyr	Tyr	Thr	Met	Ser	Asn	Asn	Leu	Val	Thr
	•		100	•	•	•	-	105					110		

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- Ile Tyr Ala Gln Val Thr Phe Cys Ser Asn Arg Glu Ala Ser Ser Gln 130 135 140
- Ala Pro Phe Ile Ala Ser Leu Cys Leu Lys Ser Pro Gly Arg Phe Glu 145 150 155 160
- Arg Ile Leu Leu Arg Ala Ala Asn Thr His Ser Ser Ala Lys Pro Cys 165 170 175
- Gly Gln Gln Ser Ile His Leu Gly Gly Val Phe Glu Leu Gln Pro Gly 180 185
- Ala Ser Val Phe Val Asn Val Thr Asp Pro Ser Gln Val Ser His Gly
  195 200 205
- Thr Gly Phe Thr Ser Phe Gly Leu Leu Lys Leu Glu 210 215 220

# (12) INTERI

#### (19) World Intellectual Property Organization International Bureau



#### (43) International Publication Date 19 April 2001 (19.04.2001)

### (10) International Publication Number WO 01/26608 A3

(51) International Patent Classification?: C12P 21/06, 21/04, C12N 15/00, 15/00 A61K 39/00,

(81) Designated States (national): AU, CA, CN, JP, MX, NZ,

(21) International Application Number: PCT/US00/28414

(22) International Filing Date: 13 October 2000 (13.10.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/159,690

14 October 1999 (14.10.1999) US

(71) Applicants and

(72) Inventors: LEDBETTER, Jeffrey, A. [US/US]; 18798 Ridgefield Road N.W., Shoreline, WA 98177-3227 (US). HAYDEN-LEDBETTER, Martha, S. [US/US]; 18798 Ridgefield Road N.W., Shoreline, WA 98177-3227 (US).

SE, ZA.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- (88) Date of publication of the international search report: 18 October 2001

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DNA VACCINES ENCODING ANTIGEN LINKED TO A DOMAIN THAT BINDS CD40

(57) Abstract: Vaccines that target one or more antigens to a cell surface receptor improve the antigen-specific humoral and cellular immune response. Antigen(s) linked to a domain that binds to a cell surface receptor are internalized, carrying antigen(s) into an intracellular compartment where the antigen(s) are digested into peptides and loaded onto MHC molecules. T cells specific for the peptide antigens are activated, leading to an enhanced immune response. The vaccine may comprise antigen(s) linked to a domain that binds at least one receptor or a DNA plasmid encoding antigen(s) linked to a domain that binds at least one receptor. A preferred embodiment of the invention targets HIV-1 env antigen to the CD40 receptor, resulting in delivery of antigen to CD40 positive cells, and selective activation of the CD40 receptor on cells presenting HIV-1 env antigens to T cells.

# INTERNATIONAL SEARCH (REPORT

International application No. P.CT/US00/28414

IPC(7) :	A61K 39/00; C12P 21/06, 21/04; C12N 15/00								
US CL: 424/184.1, 192.1; 435/69.1, 69.7, 320.1 According to International Patent Classification (IPC) or to both national classification and IPC									
	DS SEARCHED								
Minimum de	ocumentation searched (classification system followed	by classification symbols)							
	424/184.1, 192.1, 435/69.1, 69.7, 320.1								
Documentati	ion searched other than minimum documentation to the e	xtent that such documents are included in	the fields searched						
Electronic d	ata base consulted during the international search (nam	ne of data base and, where practicable,	search terms used)						
USPATF	UL, WPIDS, MEDLINE, AIDSLINE	•							
c. Doc	UMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.						
X	US 5,580,773 A (KANG CY. and L. see entire document.	LUO) 03 December 1996,	1, 6, 8, 13						
X	US 5,945,513 A (ARUFFO A., et al.) document.	31 August 1999, see entire	1, 2, 8, 9						
x	US 5,521,288 A (LINSLEY, P. S., et a document.	al.) 28 May 1996, see entire	1, 8						
x	US 5,698,679 A (NEMAZEE, D. A.) 1	6 December 1997, see entire	1, 8						
Y	document.		2, 4, 5, 9, 11, 12						
<u> </u>									
	,								
Furd	her documents are listed in the continuation of Box C.	See patent family annex.							
• Sp	pecial categories of cited documents:	"T" later document published after the int	ternational filing date or priority						
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